

#2/225  
D. Brofman  
9-4-01

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APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF DEPOSIT AS THE FILING DATE.

11 August 2001

(Date of Deposit)

GRAHAM S. JONES, II, Reg. No. 20,429

Name

Signature

11 August 2001

Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:	Brofman et al.	Date:	11 August 2001
Application No.	09/870,531	Examiner:	
Filing Date:	31 May 2001	Art Unit:	2815
Title:	Method of Manufacture of Silicon Based Package and Device Manufactured Thereby	Attorney:	Graham S. Jones, II 42 Barnard Avenue Poughkeepsie, NY 12601-5023

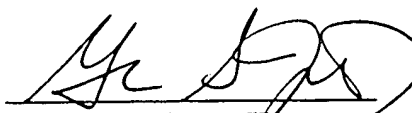
## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Your Honor:

Pursuant to the duty of disclosure set forth in 37 CFR 1.56, and further pursuant to 37 CFR 1.97 and 37 CFR 1.98, Applicants hereby respectfully submit copies of the following prior patents and publications as listed on Form PTO-1449, attached hereto.

Respectfully submitted,  
Brofman et al.

By:   
Graham S. Jones, II, Attorney  
Registration No. 20,429  
Telephone: 845-473-9118  
Facsimile: 845-485-9399

Enclosures: Form 1449 with references attached

FI9-2000-0412-US1



2815

Please use a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/870,531
Filing Date	31 May 2001
First Named Inventor	Peter J. Brofman
Group Art Unit	2815
Examiner Name	
Attorney Docket Number	FIS9-2000-0412-US1

Total Number of Pages in This Submission **96**

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

**FORM PTO-1449 (Modified)**

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

**Graham S. Jones, II, Reg. No. 20,429**

Signature

Date

**11 AUGUST 2001**

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **11 AUGUST 2001**

Typed or printed name

**Graham S. Jones, II**

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Date

**11 AUGUST 2001**

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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

ATTY. DOCKE

FIS9-2000-0412-US1

SERIAL-NO.

09/870,531

APPLICANT: Brofman et al.

(Use several sheets if necessary)

FILING DATE: 05/31/01

GROUP: 2815

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUED DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
AA	5,258,236	11/02/93	Arjavalingam et al.	428	626	
AB	5,497,545	03/12/96	Watanabe et al.	29	830	
AC	5,654,590	08/05/97	Kuramochi	257	778	
AD	5,965,933	10/12/99	Young et al.	257	621	
AE	6,036,809	03/14/00	Kelly et al.	156	247	
AF	6,066,562	05/23/00	Oshima et al.	438	691	
AG	6,184,060	02/06/01	Siniaguine	438	106	
AH	6,187,418	02/13/01	Fasano et al.	428	210	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AI							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AK	Matsuo et al.	"Silicon Interposer Technology for High-density Package", Session 36, Afternoon, Wednesday, May 24, 2000, at Caesar's Palace, Las Vegas, NV, Proceedings of IEEE Electronic Components and Technology Conference, IEEE, ISBN 0780359089, 4 pages, 2000
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.